

A	B	C	D	E	F	G	H	
1	Pension Fund - Risks Mapped to Assurance							
2								
3	#	Risk	Assurance Source	Title	Last Audit reported to PFC	Audit Committee	Assurance Level	Note
4	1	Pension Fund Assets	BCPP AAF	AAF Type II Assurance Report	Annual		n/a*	*provided by BCPP
5			Pension Fund Audit Assurance	Bank Reconciliation	2022/23		Substantial	
6			Pension Fund Audit Assurance	Contributions	2024/25		Substantial	
7			Pension Fund Audit Assurance	Debt Recovery	2024/25		Moderate	
8			External Audit	External Audit Report 2022/23	2023/24	2023/24	n/a	
9			Pension Fund Audit Assurance	Governance Arrangements	2020/21		Substantial	
10			Pension Fund Audit Assurance	Investments	2021/22		Substantial	
11			External Monitoring	Quarterly Independent Advice to Pension Fund Committee	Quarterly		n/a	
12			External Monitoring	Quarterly Investment Consultant Reporting to Pension Fund Committee	Quarterly		n/a	
13	2	Counterparty	Pension Fund Audit Assurance	Investments	2021/22		Substantial	
14			DCC Corporate Controls	Treasury Management		2023/24	Substantial	
15	3	ESG	BCPP RI Reporting	BCPP: Annual RI Report, Annual TCFD Report, UK Stewardship Code Compliance	2021/22		n/a	
16			Pension Fund Audit Assurance	Investments	2021/22		Substantial	
17			External Monitoring	Quarterly ESG Reporting to Pension Fund Committee	Quarterly		n/a	
18	4	Liabilities	External Monitoring	Annual Review of individual Investment Mandates	Annual		n/a	
19			Annual Report	Annual Statement of the Fund Actuary 2022/23	2023/24		n/a	
20			External Monitoring	GAD Section 13 Review	Triennial		n/a	
21			External Monitoring	Quarterly Independent Advice to Pension Fund Committee	Quarterly		n/a	
22			External Monitoring	Quarterly Investment Consultant Reporting to Pension Fund Committee	Quarterly		n/a	
23			Valuation Report	Triennial Valuation	2022/23		n/a	
24	5	Employers	Pension Fund Audit Assurance	Admission Bodies / Funding Risks	2022/23		Substantial	
25			Pension Fund Audit Assurance	Contributions	2024/25		Substantial	
26	6	Administration	Pension Fund Audit Assurance	Additional Voluntary Contributions	2024/25		Substantial	
27			Pension Fund Audit Assurance	Benefit Calculations	2022/23		Substantial	
28			Pension Fund Annual Report	Governance Compliance Statement	2020/21		n/a	
29			Annual Monitoring	Local Pension Board Annual Report to Committee	Annual		n/a	
30			Quarterly Monitoring	Local Pension Board KPI monitoring	Quarterly		n/a	
31			Pension Fund Audit Assurance	Pensions Payroll	2023/24		Substantial	
32			Pension Fund Audit Assurance	Transfer In/Out	2023/24		Substantial	
33	7	Skills	Pension Fund Annual Report	Governance Compliance Statement	2020/21		n/a	
34	8	Pooling	BCPP AAF	AAF Type II Assurance Report	Annual		n/a*	*provided by BCPP
35			Pension Fund Audit Assurance	Governance Arrangements	2020/21		Substantial	
36			Pension Fund Audit Assurance	Investments	2021/22		Substantial	
37			Annual Monitoring	BCPP Joint Committee Annual Investment Reviews	Annual		n/a	
38			Annual Monitoring	BCPP AGM receipt of company's financial statements	Annual		n/a	
39	9	ICT	DCC Corporate Controls	IT Asset Management (Advice)		2023/24	n/a	
40			DCC Corporate Controls	Business Continuity for ICT		2022/23	Moderate	
41			DCC Corporate Controls	Corporate Controls Presented to PF Committee June 2022	2022/23		n/a	
42			DCC Corporate Controls	Incident Management		2023/24	Moderate	
43			DCC Corporate Controls	Mobile Computing (Advice)		2022/23	n/a	
44			Pension Fund Audit Assurance	Pension System ICT Controls, Data Quality and Performance	2022/23		Substantial	
45			DCC Corporate Controls	Vulnerability Management		2023/24	Substantial	
46			DCC Corporate Controls	Windows Operating System		2017/18	Substantial	
47			DCC Corporate Controls	Change Management		2024/25	Moderate	
48			DCC Corporate Controls	Platform Security		2024/25	Moderate	
49			DCC Corporate Controls	IT Governance		2020/21	Moderate	
50			DCC Corporate Controls	Software Licences (Advice)		2020/21	n/a	
51	10	Data Quality	Pension Fund Audit Assurance	Pension System ICT Controls, Data Quality and Performance	2022/23		Substantial	
52	11	Data Breach	Pension Fund Audit Assurance	Compliance With Breach Policy	2023/24		Substantial	
53			DCC Corporate Controls	Corporate Controls Presented to PF Committee June 2022	2022/23		n/a	
54			Pension Fund Audit Assurance	Pension System ICT Controls, Data Quality and Performance	2022/23		Substantial	
55	12	Cyber	DCC Corporate Controls	IT Asset Management (Advice)		2023/24	n/a	
56			DCC Corporate Controls	Business Continuity for ICT		2022/23	Moderate	
57			DCC Corporate Controls	Corporate Controls Presented to PF Committee June 2022	2022/23		n/a	
58			DCC Corporate Controls	Incident Management		2023/24	Moderate	
59			DCC Corporate Controls	Mobile Computing (Advice)		2022/23	n/a	
60			Pension Fund Audit Assurance	Pension System ICT Controls, Data Quality and Performance	2022/23		Substantial	
61			DCC Corporate Controls	Vulnerability Management		2023/24	Substantial	
62			DCC Corporate Controls	Windows Operating System		2017/18	Substantial	
63			DCC Corporate Controls	Change Management		2024/25	Moderate	
64			DCC Corporate Controls	Platform Security		2024/25	Moderate	
65			DCC Corporate Controls	IT Governance		2020/21	Moderate	
66			DCC Corporate Controls	Software Licences (Advice)		2020/21	n/a	
67	13	Fraud	Pension Fund Audit Counter Fraud	National Fraud Initiative – Data matching to identify potential error/fraud	2023/24		n/a	
68	14	Regulatory Compliance	Pension Fund Audit Assurance	Additional Voluntary Contributions	2024/25		Substantial	
69			Pension Fund Audit Assurance	Benefit Calculations	2022/23		Substantial	
70			Pension Fund Audit Assurance	Contributions	2024/25		Substantial	
71			Pension Fund Audit Assurance	Governance Arrangements	2020/21		Substantial	
72			Pension Fund Annual Report	Independent Review of Governance Arrangements	2020/21		n/a	
73			Pension Fund Audit Assurance	Pensions Payroll	2023/24		Substantial	
74			Pension Fund Audit Assurance	Transfer In/Out	2023/24		Substantial	